

COUNSELLING BROCHURE (2021-2022)

For Admission to

1st Year of

B.Tech./M.Tech.(Integrated) through JEE Main-2021
B.Tech. (BT)/B.Tech. (AG) through JEE Main-2021^{#1}/UPCET-2021^{#2}
B.Arch. through NATA-2021
B.Des./B.Pharm./BHMCT/BFAD/BFA/B.Voc. /MBA(Integrated)/
MCA/MCA(Integrated) through UPCET-2021
MBA through CMAT-2021^{#1}/UPCET-2021^{#2}

&

2nd Year of

B.Tech./B.Pharm. (Lateral Entry) through UPCET-2021

Website

<https://upcet.admissions.nic.in>

Help Desk

TOLL FREE : 1800-1800161
Alternate Contact : 0522-2336800, 0522-2336811
E-Mail : upcet.helpdesk@aktu.ac.in

Contact Address:

Registrar
Dr A.P.J. Abdul Kalam Technical University, Uttar Pradesh
Sec-11, Jankipuram Extension, Lucknow – 226 031 (U.P) India
E-Mail : registrar@aktu.ac.in
Website : <https://aktu.ac.in>

Order of preference

General Instructions

For Admission to First Year of B.Tech./B.Arch./B.Des./B.Pharm./BHMCT/BFAD/BFA/ B.Voc
MBA/MBA (Integrated)/MCA/MCA(Integrated)/M Tech (Integrated) and
2nd Year (Lateral Entry) of B. Tech./B.Pharm./MCA

1. **Registration for counselling for admission can be made On-Line only.** Candidates are required to fill the Application Form On-Line through the website <https://upcet.admissions.nic.in>.
2. The starting date of Counselling Registration will be intimated separately on the website: <https://upcet.admissions.nic.in>.
3. Candidates should read this Information Brochure carefully, check their eligibility and applicable reservation category etc. before filling and submitting the application form. Also, it is advised to go through the website <https://upcet.admissions.nic.in> for any latest updates.
4. This counselling is open to the candidates who have passed the qualifying examination from any institution located in U.P. or whose parents are domicile of U.P subject to the eligibility conditions given in this Information Brochure. If the candidate has passed qualifying examination from outside U.P., he/she has to upload domicile certificate of his/her parents (**Father OR Mother only**) at the time of registration for the purpose of online verification and to be produced in original at the time of offline verification during physical reporting at the Institute where seat has been allotted.
5. **This counselling is also open to the candidates who have passed the qualifying examination from any institution located outside U.P. and whose parents (both Father and Mother) are not domicile of U.P. subject to the eligibility conditions given in this Information Brochure.**
6. Please note that candidates DO NOT need to send “Confirmation Page” printed after completion and submission of On-Line application form to the University. However, the Candidate should keep the printout of the Confirmation page for record. Candidates are also advised to note down the **Application Form Number** for any future correspondences.
7. The On-Line application for counselling can be filled and submitted as per instruction on the website: <https://upcet.admissions.nic.in>.
8. Candidates do not need to send hard copy / photo copy of any document, whatsoever, to the University at this stage.
9. **¹20% of the total sanctioned seats available for admission in first year in all courses in institutions affiliated to AKTU without reservation subject to eligibility conditions given in this Information Brochure. Thus, 20% of the total sanctioned seats for admission in first year of all courses in Institutions affiliated to AKTU shall be open to all the candidates. These seats shall be filled on the basis of UP CET-2021 Merit.**
10. There is no age limit for admission to the courses covered in the counselling.
11. Accepting admission in any institution implies acceptance by the candidate and his/her parents or guardians with all the provisions given in this Information Brochure. Any change in the rules, regulations, fee and special conditions etc. of that institution shall apply to the admitted candidate.
12. **Candidates filling the On-Line Application Form are required to upload scanned images of his/her latest color photograph and signature. Application forms having blurred photographs are liable to be rejected.**
13. Candidates claiming advantage of reserved categories, sub-categories, rural weightage etc. must be required to indicate in the Application Form. These certificates, in original, will have to be produced at the time of physical reporting at the Institute where seat has been allotted, along with one self-attested copy of each one of them. The proforma of the certificates are given at the end of this Information Brochure at Appendix-B, which are subject to change even upto the date of admission, as per the orders of Govt. of Uttar Pradesh. **Note that the Certificate for OBC candidates and Certificate for EWS candidates should be issued on or after 01.04.2021 (mandatory due to condition of creamy layer for OBC).**
14. The candidates in respect of whom, the result of the qualifying examination has not been declared till the end date of registration for counselling shall not be eligible for admission (**except ²CBSE**). Students whose results are not yet declared by the CBSE (including Private, Patrachar and Second compartment) shall be allowed for admission on provisional basis, and Undertaking should be uploaded along with marksheet from such students that he/she shall submit their results within one week from the declaration of the results by the C.B.S.E. to the respective institution, failing which the provisional admission of the students shall stand cancelled.
15. If any document/declaration submitted by the candidate is found to be false at any stage, his/her admission shall be cancelled, and he/she may be liable for prosecution under the law. In case of any legal dispute, the

¹ May Change as per latest State Govt. order up to the date of counseling.

² As per AICTE circular no. AICTE/AB/Academic calendar/2021-22, Dated: 10/09/2021

- jurisdiction will be limited to Lucknow courts only.
16. The candidate must himself/ herself ensure about his/her eligibility while registering for counselling. If a candidate, who is not eligible for courses in the counselling and registered for so; he/ she will not have any claim whatsoever, for admission to any course.
 17. The Registration Fee for counselling is Rs. 1000/-.
 18. The number of Colleges / Institutions, courses and seats may increase or decrease up to the counselling dates.
 19. If any of the qualifying examination Board/University awards only letter grades without providing an equivalent percentage of mark on the grade sheet, the candidate should obtain a certificate from the Board/ University specifying equivalent marks and upload it with mark-sheet at the time of online registration for counselling. In case, such a certificate is not provided by the candidate, the decision of the Central Admission Board (CAB) regarding his/her eligibility shall be final.
 20. The allotment of seat through counselling will be carried out strictly in accordance with the merit of the candidate in, subject to the order of preference given for the seats and availability of seat in the category. **Once the seat is allotted to the candidate, no change in the Institution / Branch is admissible.**
 21. **The fee paid for registration for counselling by the candidate, after the allotment of seat will be forfeited and will not be refunded under any circumstances, even if the candidate does not report for the admission to the allotted institutions.**
 22. All information about admission counselling shall be made through University Website <https://upcet.admissions.nic.in> or <https://aktu.ac.in>. The candidates are advised to refer to this website regularly/frequently failing which AKTU will not be responsible for any loss due to the lack of communication.
 23. In case of any deficiency in application form, it is likely to be rejected and sole responsibility of its consequences will lie on the candidate and representation in this regard shall not be entertained.
 24. Abbreviations used:

| | | |
|-----------|---|---|
| AKTU | - | Dr A.P.J. Abdul Kalam Technical University Uttar Pradesh, Lucknow |
| AIU | - | Association of Indian Universities |
| UPCET | - | Uttar Pradesh Combined Entrance Test |
| B. Tech. | - | Bachelor of Technology |
| B. Pharm. | - | Bachelor of Pharmacy |
| B. Arch. | - | Bachelor of Architecture |
| B. Des | - | Bachelor of Design |
| BHMCT | - | Bachelor of Hotel Management & Catering Technology |
| BFAD | - | Bachelor of Fashion & Apparel Design |
| BFA | - | Bachelor of Fine Arts |
| MBA | - | Master of Business Administration |
| MCA | - | Master of Computer Application |
| SAT II | - | Scholastic Assessment Test II |

Note: Counselling is being conducted for admission to all the Technical Institutions/Colleges affiliated to the AKTU, Lucknow. Admissions in some of the courses to the other Universities of U.P. will also be done through this counselling.

OVERVIEW OF ELIGIBILITY FOR THE COURSES

- a) The counselling shall be conducted for the admission to the following courses offered at the Institutions affiliated to AKTU and some of the courses to the other Universities of Uttar Pradesh, for the academic year 2021-2022 shall be conducted by Dr A.P.J. Abdul Kalam Technical University Uttar Pradesh, Lucknow:

1st Year of B.Tech. shall be based on the score/percentile/rank in JEE Main-2021.

1st Year of B.Tech. (BT)/B.Tech. (AG) shall be based on score/percentile/rank in JEE Main-2021 and UPCET-2021 both. However, the first preference will be given to JEE Main-2021 candidates.

1st Year of B.Arch. shall be based on score/percentile/rank in NATA-2021.

1st Year of B.Des./B.Pharm./BHMCT/BFAD/BFA/B.Voc./MBA(Integrated)/ MCA/MCA(Integrated)/M.Tech(Integrated) shall be based on score/percentile/rank in UPCET-2021

1st Year of MBA shall be based on score/percentile/rank in CMAT-2021 and UPCET-2021 both. However, the first preference will be given to CMAT-2021.

2nd Year of B.Tech./B.Pharm. (Lateral Entry) shall be based on score/percentile/ rank in UPCET-2021.

- b) The candidates in respect of whom, the result of the qualifying examination has not been declared till the end date of registration for counselling shall not be eligible for admission (**except CBSE**). Students whose results are not yet declared by the CBSE (including Private, Patrachar and Second compartment) shall be allowed for admission on provisional basis, and Undertaking should be uploaded along with marksheet from such students that he/she shall submit their results within one week from the declaration of the results by the C.B.S.E. to the respective institution, failing which the provisional admission of the students shall stand cancelled.
- c) If any of the qualifying examination Board/University awards only letter grades without providing an equivalent percentage of mark on the grade sheet, the candidate should obtain a certificate from the Board/ University specifying equivalent marks and upload it with mark-sheet at the time of online registration for counselling. In case, such a certificate is not provided by the candidate, the decision of the Central Admission Board (CAB) regarding his/her eligibility shall be final.

1 CENTRAL ADMISSION BOARD (CAB)

The entrance examination for degree level engineering institutions and other professional colleges has been assigned to Dr A.P.J. Abdul Kalam Technical University Uttar Pradesh, Lucknow vide Govt. order no. 59948/16-1099/1043/2019 dated 23-03-2021. The Govt. of Uttar Pradesh, Technical Education Department, has consented for the constitution of Central Admission Board (CAB) under the Chairmanship of the Vice Chancellor, Dr A.P.J. Abdul Kalam Technical University Uttar Pradesh, Lucknow to supervise and control the Counselling being conducted by Dr A.P.J. Abdul Kalam Technical University Uttar Pradesh, Lucknow. The jurisdiction of CAB extends to colleges / institutes affiliated to AKTU, Lucknow. The CAB is authorized to take every decision regarding conduct and admissions through UPCET-2021.

2 MODE OF ADMISSION

2.1 ADMISSION TO FIRST YEAR

2.1.1 Candidates qualified JEE (Main)-2021 exam conducted by NTA can register online for the following course in the Counselling:

- 4 year Bachelor of Technology (B.Tech)
- 5 year Master of Technology (M.Tech) Integrated

The admission to B.Tech /M.Tech(Integrated) in the Institutions affiliated to Dr APJ Abdul Kalam University(AKTU), Lucknow, and other specified state Universities, will be done on the basis of score/percentile/rank in JEE (Main)-2021.

2.1.2 Candidates qualified in JEE (Main)-2021 OR UPCET-2021 OR both (Preference will be given to JEE (Main)-2021 candidates in admission process) can register online for the following Courses in the Counselling:

- 4 year Bachelor of Technology Bio Technology, B.Tech (BT)
- 4 year Bachelor of Technology Agriculture Engineering, B.Tech (AG)

The admission to Bachelor of Technology Bio Technology, B.Tech (BT)/Bachelor of Technology Agriculture Engineering, B. Tech (AG) in the Institutions affiliated to Dr APJ Abdul Kalam University(AKTU), Lucknow, and other specified state Universities, will be done on the basis of score/percentile/rank in JEE(Main)-2021, if any seats in these courses are vacant after considering candidates of JEE (Main), they would be filled, on the basis of UPCET-2021 score/percentile/rank.

2.1.3 Candidates qualified in NATA-2021, can register online for the following Course in the Counselling:

- 5 year Bachelor of Architecture, B.Arch

The admission to Bachelor of Architecture, B.Arch offered by Dr APJ Abdul Kalam University(AKTU), Lucknow, and other specified state Universities, will be done on the basis of score/percentile/rank in NATA-2021.

2.1.4 Candidates qualified UPCET-2021 exam conducted by NTA can register online for the following Undergraduate and Postgraduate courses in the Counselling:

a) Undergraduate Courses:

- 4 year Bachelor of Pharmacy (B. Pharm)
- 4 year Bachelor of Design (B. Des)

- 4 year Bachelor of Hotel Management and Catering Technology (BHMCT)
- 3 year Bachelor of Vocational courses (B. Voc.)
- 3 year Bachelor of Business Administration (B.B.A)
- 4 year Bachelor of Fashion and Apparel Design course (BFAD)
- 4 year Bachelor of Fine Arts (BFA)
- 5 year Master of Computer Applications (MCA) Integrated
- 5 year Master of Business Administration (MBA) Integrated

b) Postgraduate courses:

- 2 year Master of Computer Applications (MCA)

The admission to above Undergraduate and Postgraduate courses in the Institutions affiliated to Dr APJ Abdul Kalam University(AKTU), Lucknow, and other specified state Universities, will be done on the basis of score/percentile/rank in UPCET-2021.

2.1.5 Candidates qualified in CMAT-2021 OR UPCET-2021 OR both (Preference will be given to CMAT-2021 candidates in admission process) can register online for the following Courses in the Counselling:

- Master of Business Administration (MBA)

The admission to Master of Business Administration (MBA) in the Institutions affiliated to Dr APJ Abdul Kalam University(AKTU), Lucknow, and other specified state Universities, will be done on the basis of score/percentile/rank in CMAT-2021, if any seats in these courses are vacant after considering CMAT-2021, they would be filled, on the basis of UPCET-2021 score/percentile/rank.

2.2 DIRECT ADMISSION (LATERAL ENTRY) TO 2nd YEAR OF B.TECH/B.PHARM

- Candidates qualified in UPCET-2021 exam conducted by NTA can register online for DIRECT ADMISSION (LATERAL ENTRY) to 2nd year of B.Tech/B.Pharm courses in the Counselling.
- ²The eligible candidates (Refer clause 3.3) seeking direct admission to second year of B.Tech. (for engineering diploma holders and B.Sc. graduates) or B. Pharm. (Only for pharmacy diploma holders) in the Institutions affiliated to Dr APJ Abdul Kalam University(AKTU), Lucknow, and other specified state Universities, are required to register online for counselling before last date.
- ³Such seats are limited to a maximum of 10% of previous year sanctioned intake in institutions/colleges for different courses at first year level.
- However, for B.Tech students belonging to the B.Sc. stream shall be considered **ONLY AFTER** filling the seats in this category by students of Diploma stream.
- Direct admission to Second year shall **not** be made in B.Arch./BHMCT/BFAD/BFA/B. Des/B. Voc/ MBA Courses.
- The candidates who have passed qualifying examination from other than Uttar Pradesh State and whose parents are not domicile of Uttar Pradesh State are not eligible for direct admission to second year of B.Tech/ B.Pharm.

2.3 SEATS UNDER DIFFERENT SCHEME IN FIRST YEAR

- UP State Govt. has permitted 15% of total sanctioned seats available in Private Institutions to be filled by

²As per AICTE Notifications F-11-140/2004-NRO/AICTE-33 dated 9-06-2004 & F.37-3/Legal/2007 dated 12.01.07 and U.P.Govt. Order no. 256 / Solah – 1 – 2007 – 1(01) / 2005 dated 14.02.07

³Subject to change as per latest orders of Govt. of U.P. / AKTU up to the date of counselling.

the Management quota/NRI candidates; and the same shall be filled up in accordance with the procedure prescribed in the Govt. orders. The remaining seats in various courses offered at the Institutions affiliated to AKTU, Lucknow and other specified state Universities will be filled through the counselling.

- (ii) ⁴20% of the total sanctioned seats available for admission in first year in all courses in institutions affiliated to AKTU without reservation subject to eligibility conditions given in this Information Brochure. Thus, 20% of the total sanctioned seats for admission in first year of all courses in Institutions affiliated to AKTU shall be open to all the candidates. These seats shall be filled through the counselling as per above clause 2.1 & 2.2.
- (iii) For Government/ Government Aided/Govt. Self-Financed Institutes, 100% seats in all courses in first year will be filled through the counselling as per above clause 2.1 & 2.2.
- (iv) For private colleges 85% seats in all the courses will be filled through the counselling as per above clause 2.1 & 2.2.

2.4 ADMISSION TO NON-RESIDENT INDIAN (NRI) CANDIDATES IN FIRST YEAR

NRI candidates, who possess prescribed qualifications (clause 3.4), may get direct admission on 5% seats of total intake in Govt. / Govt. aided and Private Institutions having approval from AICTE for NRI seats on merit, subject to the latest instructions of Govt. of U.P. The detailed information will be published in the first week of September-2021 in various newspapers and university website. The candidates, seeking for admission under this scheme, need not to appear in any entrance exam, i.e. – UPCET-2021/JEE(Main)-2021. However, applicants opting B.Arch. course under this category has to be NATA-2021 qualified.

2.5 ADMISSION TO ⁵KASHMIRI MIGRANTS AND KASHMIRI PANDITS/ KASHMIRI HINDU FAMILIES (NON MIGRANTS) LIVING IN KASHMIR VALLEY IN FIRST YEAR

Direct admission to Kashmiri Migrants and Kashmiri Pandits/ Kashmiri Hindu Families (Non Migrants) Living in Kashmir Valley in first year in each institute is to be made as per the latest instructions of AICTE and orders from U.P. Govt. Such admissions will be over and above the sanctioned intake and normally limited upto one admission per Institute affiliated to AKTU, Lucknow. The detailed information will be published in the first week of September-2021 in various newspapers and University website <https://upcet.admissions.nic.in>. The candidates, opting for admission under this scheme, need not to appear in any entrance exam, i.e. – UPCET-2021/JEE(Main)-2021.

The B.Arch. course does not exist for such candidates.

2.6 ADMISSION TO FOREIGN NATIONALS (FN) / PERSONS OF INDIAN ORIGIN (PIO) / CHILDREN OF INDIAN WORKERS IN GULF COUNTRIES(CIWGC)

Under the approval of AICTE, identified institutions shall admit FN/PIO/CIWGC up to the extent sanctioned by AICTE as per the eligibility criteria (clause 3.5) and guidelines of the University. These supernumerary seats shall be exclusively meant for the FN/PIO/CIWGC students in undergraduate courses with the condition that under no circumstances a seat remained unfilled shall be allocated to candidates other than a FN/PIO/CIWGC. The candidates, opting for admission under this scheme, need not to appear in any entrance exam, i.e. – UPCET-2021/JEE(Main)-2021.

The B.Arch. course does not exist for such candidates.

2.7 ADMISSION TO FEEWAIVER SEATS FOR ECONOMICALLY WEAKER MERITIOUS STUDENTS

As per the AICTE notification fee waiver seats for economically weaker meritorious candidates are also available in B.Tech./BHMCT/BFAD/BFA courses in all institutions affiliated to AKTU, Lucknow. No tuition fee will be charged from the candidates admitted against such seats. The fee waiver seats will be

⁴ May Change as per latest State Govt. order upto the date of counseling.

⁵ As per MHRD Ref No F.No 3-4/2017-NER Dated 5th November 2019 & AICTE Letter No AICTE/AB/NWR/Misc/2019 Dated 23rd October 2019, May change as per latest State Govt orders upto the date of counselling.

limited to maximum of 5% of the approved intake in the course, and will be over and above of the sanctioned intake. The economically weaker students must submit certificate no. 12 (Income Certificate) at the time of verification of documents.

No Tuition Fee Waiver seats are available for B.Arch./B Pharma Courses.

3 ELIGIBILITY CRITERIA

The candidates must fulfill the eligibility criteria as given in following clause 3.1/3.2/3.3 in addition to clause 2.1 appear in the Counselling.

3.1 ELIGIBILITY TO APPEAR IN THE COUNSELLING FOR ADMISSION TO FIRST YEAR OF B.Tech /B.Des/ B.Arch/ B.Pharm/ BHMCT / BFAD /BFA /B.Voc /MBA (Integrated) /MCA (Integrated)/ M. Tech(Integrated)

- (i) The subject combination required in the qualifying examination (intermediate examination of U.P. Board or 10+2 level examination or its equivalent) for admission to first year of different courses shall be as follows:

| Course | Compulsory Subjects | Anyone of the following Subjects |
|--|---|--|
| B. Tech/ M Tech (Integrated) / MCA (Integrated) | Physics & Mathematics | Chemistry/ Bio-Technology / Biology / Technical Vocational subject |
| B. Tech (Bio-Technology) | (i) Physics & (ii) Mathematics OR Biology | Chemistry/ Bio-Technology / Biology / Technical Vocational subject |
| B. Arch | Physics, Chemistry & Mathematics | |
| B. Pharm | Physics & Chemistry | Mathematics/ Biology |
| BHMCT/ BFAD /BFA / B Voc / B. Des/ MBA(Integrated) | Any discipline. | |

- (ii) For admission to first year of B.Tech. / MCA (Integrated) / M Tech (Integrated) candidate must have passed (with/without grace) intermediate examination of U.P. Board or 10+2 level examination or its equivalent with compulsory subjects and one of the optional subjects as given in clause 3.1 (i) and obtained at least 45% marks (40% in case of candidates belonging to reserved category) in the above subjects taken together OR passed (with/without grace) Diploma (in Engineering and Technology) examination with at least 45% marks (40% in case of candidates belonging to SC/ST category)
- (iii) For admission to first year of B.Pharm, candidate must have passed (with/without grace) intermediate examination of U.P. Board or 10+2 level examination or its equivalent with Physics and Chemistry as compulsory subjects along with Mathematics/ Biology subject
- (iv) For admission to first year of B. Arch., a candidate must have passed (with/without grace) intermediate examination of U.P. Board or 10+2 level examination or its equivalent with Physics, Chemistry and Mathematics OR passed 10+3 Diploma Examination with Mathematics as compulsory subject.
- (v) For admission to first year of BHMCT /BFAD /BFA/ MBA(Integrated) /B.Voc/ B.Des, candidate must have passed (with/without grace) intermediate examination of U.P. Board or 10+2 level examination or its equivalent and securing minimum 45% marks (40% marks for SC/ST category candidates) in aggregate.
- (vi) A candidate who gets a compartment/Repeat in theory in the 12th examination will be eligible for admission under the counselling process if he/she clears the same (by appearing in the supplementary examination) and upload it with mark-sheet before the last date of registration for counselling (**except CBSE**). Students whose results are not yet declared by the CBSE (including Private, Patrachar and Second compartment) shall be allowed for admission on provisional basis, and Undertaking should be uploaded along with marksheet from such students that he/she shall submit their results within one week from the declaration of the results by the C.B.S.E. to the respective institution, failing which the provisional admission of the students shall stand cancelled.
- (vii) Aggregate marks percentage in 12th or equivalent examination shall be worked out as suggested by the certificate awarding Board/University/Council. If the Board / University / Council awards letter grades without providing the equivalent percentage of marks on the grade sheet, the candidate should obtain a

certificate from the respective Board / University / Council specifying equivalent percentage conversion formula and upload it with mark-sheet at the time of online registration for counselling. In the absence of such a certificate, the decision of the Central Admission Board (CAB) regarding his/her eligibility shall be final.

3.2 ELIGIBILITY TO APPEAR IN THE COUNSELLING FOR ADMISSION TO FIRST YEAR OF MBA/MCA

- (i) For admission to first year of MBA in any institution affiliated to AKTU, Lucknow, a candidate must have passed (with/without grace) Bachelor's degree course of three years minimum duration from any recognized Indian University; or its equivalent, recognized by A.I.U securing minimum 50% (45% in case of candidates belonging to SC/ST category) marks **in aggregate**.
- (ii) For admission to first year of MCA in any institution affiliated to AKTU, Lucknow, a candidate must have passed (with/without grace) BCA/ B.Sc./ B.Com./ B.A. of three years minimum duration with Mathematics at 10+2 level or at Graduation Level from any recognized Indian University; or its equivalent, recognized by A.I.U. securing minimum 50% (45% in case of candidates belonging to SC/ST category) marks **in aggregate**.

3.3 ELIGIBILITY TO APPEAR IN THE COUNSELLING FOR ADMISSION TO SECOND YEAR (LATERAL ENTRY) OF B.Tech/B.Pharm

- (i) Lateral entry in "B.Tech. for Engineering Diploma holders or B.Sc. Graduates with Mathematics as one of the subject at XII standard"/ "B.Pharm for Diploma holders" in third semester (second year)/ is open **only in** the institutions affiliated to AKTU, Lucknow. Candidate who have passed qualifying exam from other than Uttar Pradesh State and whose parents are not domicile of UP State are not eligible for direct admission to second year of B.Tech / B.Pharm.
- (ii) Candidates who have passed (with/without grace) three years Engineering Diploma from an Institution located in U.P. with minimum 45% marks (40% for SC/ST) OR whose parents (Father or mother) are domicile of Uttar Pradesh and he/she has passed three years Engineering Diploma with minimum 45% marks (40% for SC/ST) recognized by the Board of Technical Education, U.P., in any branch of Engineering (except Agriculture Engg., Pharmacy and Architecture), are eligible for admission to Second year in any branch of Engineering /Technology.
- (iii) Candidates who have passed (with/without grace) three years B.Sc. degree and passed XII standard with mathematics as one of the subject' from any recognized University; securing minimum 45% marks (40% for SC/ST) in aggregate, are also eligible for admission to second year in any branch of Engineering/ Technology. These candidates shall be considered only after filling the supernumerary seats in this category with the candidates belonging to the Diploma stream. The candidates belonging to B.Sc. Stream shall clear the subjects Engineering Graphics/ Engineering Drawing/ Engineering Mechanics (as applicable in the University Curriculum) of the first year Engineering programme along with the second-year subjects.
- (iv) Candidates who have passed (with/without grace) 2/3 years Diploma in Pharmacy from an Institution located in U.P. with minimum 45% marks (40% for SC/ST)' OR whose parents are domicile of U.P. and he/she has passed (with/without grace) 2/3 years Diploma in Pharmacy with minimum 45% marks (40% for SC/ST) recognized by the Board of Technical Education, U.P., are eligible for admission to second year of **B. Pharm. only**.

3.4 ELIGIBILITY FOR ADMISSION TO NRI QUOTA IN FIRST YEAR

NRI candidates who possess minimum requisite qualification may get direct admission on 5% seats of total intake in State Funded and Private Institutions of Uttar Pradesh in all courses except MBA/MCA. However, applicants opting B.Arch. course under this category must have qualified NATA-2021. The candidates will be informed separately about the date of counselling and number of seats under this quota. The latest orders issued by Govt. of U.P. up to the date of NRI counselling shall be applicable for admission in NRI quota.

The detailed information will be published in the first week of September-2021 in the Newspaper and website: <https://upcet.admissions.nic.in/>.

3.5 ELIGIBILITY FOR ADMISSION TO FOREIGN NATIONALS (FN) / PERSONS OF INDIAN ORIGIN (PIO) / CHILDREN OF INDIAN WORKERS IN GULF COUNTRIES (CIWGC) IN FIRST YEAR

FN/PIO/CIWGC seats shall be filled from Foreign Nationals / PIO's/CIWGC who:

- (i) have passed the qualifying examination with Physics, Chemistry and Mathematics, which is considered equivalent to (10+2) examination by the Association of Indian Universities.
- (ii) should submit a copy of passport or equivalent evidence in support of their Nationality.

The relative merit of such cases will be based on their qualifying examination.

The latest orders issued by Govt. of U.P. up to the date of admission will be applicable in FN/PIO/CIWGC.

3.6 ELIGIBILITY FOR DIRECT ADMISSION TO FIRST YEAR IN PRIVATE INSTITUTIONS AT INSTITUTE LEVEL UNDER MANAGEMENT QUOTA (FOR NRI CANDIDATES) / VACANT SEATS AFTER COUNSELLING

- (i) The 15% seats⁶ in private institutions shall be filled by the Management/ NRI quota at institute level under management quota as per eligibility criteria in clause 2.1 and clause 3. The vacant seats in NRI/Management quota or vacant seats after counselling shall be filled strictly in following preference order, within fifteen days of the last date of counselling:
 - (1) Preference should be given to candidate as per clause 2.1 and eligibility as per clause 3.
 - (2) Secondly (except for B.Arch. where NATA-2021 is mandatory), by the **merit of candidates in qualifying examinations** lay down by All India Council of Technical Education, New Delhi for admission to various programmes which are updated from time to time.
- (ii) Institute shall ensure filling of these vacant seats inviting the applications through advertisement in at least two leading newspapers of the State level and Institute website, giving at least one-week time to submit the applications on the University Portal. Admissions shall be strictly based on clause 3.6(i) mentioned above.

IN ANY CASE NO PRIVATE INSTITUTION IS ALLOWED TO GIVE ADMISSION IN MORE THAN 25% SEATS TO STUDENTS FROM STATE OTHER THAN UTTAR PRADESH.

3.7 ELIGIBILITY FOR DIRECT ADMISSION (LATERAL ENTRY) TO SECOND YEAR IN PRIVATE INSTITUTIONS UNDER VACANT SEATS AFTER COUNSELLING

The vacant seats in B. Tech./B.Pharm. course after counselling for lateral entry (second year) shall be filled within 15 days of the last date of counselling at institute level, firstly by the qualified candidates of UP CET-2021, who fulfill the eligibility as per clause 2.1 and 3.3. Their relative merit will be decided on their rank in UP CET-2021.

Thereafter, the vacant seats, if any, will be filled on merit by such candidates who have passed the qualifying examination securing minimum 45% (40% for SC/ST) for B. Tech./B.Pharm.

Institute shall ensure the filling of these seats by inviting the applications through advertisement in at least two leading newspapers of state and institute website and give at least one-week time to submit the applications.

⁶It is as per State Govt. order and may change as per latest instructions of Govt. of U.P. upto the date of counselling.

4 CATEGORY DEFINITIONS

- 4.1 Candidates, who have passed the qualifying examination from an institution located in the State of Uttar Pradesh and who do not claim for any reserved category are eligible for admission to all the courses at all the institutions against available General (OPEN) seats.

[Code: UPGE]

No category certificate required from such candidates.

- 4.2 Candidates, who have passed the qualifying examination from an institution located in State of U.P. and are domicile of U.P. and belong to Schedule Caste (SC) of U.P./Schedule Tribe (ST) of U.P./Other Backward Classes of U.P. are eligible for admission against available reserved seats of their category. List of Schedule caste, Schedule Tribe and Other Backward Class are available on Appendix-F⁷.

[Respective Codes: UPSC / UPST / UPBC]

Certificate No. 1 or 2, as applicable, is required.

- 4.3 Candidates, who have passed the qualifying examination from an institution located outside U.P. and whose parents are domicile of U.P. and who have not claimed for any reserved category, are also eligible for admission to courses offered at all institutions under General (OPEN) category. Such candidate must produce the domicile certificate of his/her parents (Father OR Mother only) at the time of counselling.

[Code: UPGD]

Certificate No. 3 required from such candidates

- 4.4 Candidates, who have passed the qualifying examination from an institution located outside U.P. and whose parents are domicile of U.P. and who belong to Schedule Caste of U.P. / Schedule Tribe of U.P. / Other Backward classes of U.P. are also eligible for admission against reserved seats of their category.

[Respective Codes: GDSC/GDST/GDBC]

Such candidate has to produce the domicile certificate of his/her parents (Father OR Mother only) (Certificate No.3) and Category Certificate (Certificate No.1 or 2 as applicable) at the time of counselling.

- 4.5 The domicile requirement to son/daughter of following is relaxed and admission is permitted to such:

- a) Defense personnel settled in U.P. on the date of Counselling registration after retirement / being disabled in action or Defense personnel killed in action and the dependents settled in U.P. on the date of Counselling registration. (*Certificate no. 5 is required*)
- b) Defense personnel who are not domicile of U.P, but are posted in U.P. on the date of Counselling registration. (*Certificate no. 5 is required.*)
- c) Employee of **All India Services** belonging to U.P. cadre (*Certificate no.11 is required*).

[Code: GDDA]

GDDA candidates will be considered as UPGD category for all other benefits.

- 4.6 Candidates, who have passed the qualifying examination from an institution located outside U.P. and whose parents are NOT domicile of U.P.

[Code: OSGE]

No category certificate required from such candidates.

Such candidates, along-with candidates of other categories, shall be considered only against 20 percent of the sanctioned intake of first year of all courses in Institutions affiliated to AKTU.

⁷It is as per State Govt. order and may change as per latest instructions of Govt. of U.P. upto the date of counselling

- 4.7 ⁸Candidates, who have passed the qualifying examination from an institution located in the State of Uttar Pradesh and belong to GENERAL EWS Category are eligible for admission to all the courses at all the institutions against available General (EWS) seats.

[Code: UPGEEWS]

Certificate issued by the U.P. Government (Certificate no.13).

- 4.8 ⁸Candidates, who have passed the qualifying examination from an institution located outside U.P. and whose parents are domicile of U.P. and belong to GENERAL EWS Category are eligible for admission to all the courses at all the institutions against available General (EWS) seats.

[Code: UPGDEWS]

Certificate issued by the U.P. Government (Certificate no.13).

Note: Proformas of various certificates are available in Appendix –B, Candidates can also use the Proformas issued by the Uttar Pradesh State Government.

5 RESERVATION OF SEATS⁹

5.1 Vertical Reservation(Category):

| Category | Percentage of Reservation |
|--------------------------------------|---------------------------|
| (a) Scheduled Caste from U.P. | 21% |
| (b) Scheduled Tribe from U.P. | 02% |
| (c) Other Backward Classes from U.P. | 27% |

5.2 Horizontal Reservation (Sub-category available in each category):

| Sub-Category | Code | Maximum Percentage of Total Seats |
|---|------|-----------------------------------|
| (a) Dependents of Freedom Fighters from U.P. | UPFF | 02% |
| (b) Sons / Daughters of defense personnel of U.P. either retired (superannuated)or killed/disabled in action or defense personnel posted in U.P./defense personnel domicile of U.P. & posted outside U.P. | UPAF | 05% |
| (c) Divyang Jan ¹⁰ from U.P. | UPHC | 03% |
| (d) Female from U.P. | UPGL | 20% |

- 5.3 The candidates can be given only one type of horizontal reservation out of UPFF/UPAF/UPHC. However, the female candidates can also claim for any one of UPFF/UPAF/UPHC along with UPGL.

- 5.4 Subsequent request for the change of category / sub-category filled in Application Form shall not be entertained under any circumstances.

- 5.5 Category/sub-category must be supported by the relevant certificates as per proforma given

⁸ Subject to change as per latest instructions of the Govt of UP upto the date of counseling.

⁹ Reservation Policy will be applicable as per latest instructions of Govt. of U.P. upto the date of counselling

¹⁰60% Seats are Reserved for UPHC Category Candidates for Each Course offered in AITH :Dr. Ambedkar Institute of Technology for Handicapped, U.P.

in Appendix-B to be produced at the time of counselling, failing which the candidates shall be treated in General Category

- 5.6 The advantage of horizontal reservation in Armed force sub-category is available to sons/daughters of 'defense personnel from U.P. either retired (Superannuated) or killed / disabled in action and the dependents settled in U.P.', and 'the defense personnel posted in U.P.' on the date of Counselling registration.
- 5.7 Certificate no. 4, 5 and 6 should be uploaded at the time of counselling registration, for claiming reservations in subcategories mentioned at clause 5.2 (a), (b) and (c) respectively.
- 5.8 The seats available under Fee Waiver scheme will be notified in respective category at the time of counselling.
- 5.9 Please note that benefits of UPGL subcategory will automatically be given to all the Female candidates of UPGD & UPGE.

6 WEIGHTAGE TO CANDIDATES FROM RURAL AREAS OF UTTAR PRADESH

- 6.1 A weightage of 3% of the total marks obtained in the entrance exam (i.e. - JEE(Main-2021/UPCET-2021) will be given to such UPGE candidates for B.Tech./B.Pharm./B.Arch./BHMCT/BFAD/BFA/B.Voc. courses, who have passed the qualifying examination from an institution situated in rural area of Uttar Pradesh.
- 6.2 For this purpose, the institutions should be situated in the jurisdiction of Gram Panchayat but the area should not be industrially developed. Such candidates must produce Certificate Number 7 at the time of counselling.
- 6.3 Rural weightage is not applicable for a candidate opting any other reservation and for a candidate opting direct admission to second year.
- 6.4 Rural weightage is not applicable for candidates under UPGD and UPGDEWS category defined in clause 4.3 and 4.8.

7 MEDICAL STANDARDS

- 7.1 Candidates will have to submit a certificate of Medical fitness /handicapped on Certificate number 9 at the time of Counselling registration.
- 7.2 The medical standards prescribed are given below:

Medical Standards Applicable:

| | |
|-----------------------------------|--|
| Height | Candidate should be Physically fit to pursue his/her studies in opted course. |
| Weight | |
| Chest measurement | |
| Heart and lungs | No abnormality |
| Hernia, Hydrocele, Piles etc. | Presence of any of these is to be corrected before joining. |
| Vision | Normal. If defective, it must be got corrected to 6/9 in the better eye and 6/12 in the worse one. Eyes should be free from congenital or any other disease. |
| Hearing | Normal. If defective, it must be got corrected before joining. |
| Physically Handicapped / Disabled | The candidate having any one type of physical handicap/ disability given below. |

Physically Handicapped / Disabled:

| | |
|-----------------|---|
| Type – I | Minimum 40% permanent Visual impairment |
|-----------------|---|

| | |
|-------------------|---|
| Type – II | Minimum 40% permanent Locomotors disability |
| Type – III | Minimum 40% permanent speech and Hearing impairment |

8 COUNSELLING

The information about Counselling procedure, Counselling Schedule and all relevant information regarding counselling etc. is described below.

9 Counselling Registration FEE

The Counselling Registration fee is Rs. 1000. The registration fees have to be submitted On-Line through Credit/debit Card/ Net Banking while submitting the On-Line Application. Please note that the bank may additionally charge service charges plus applicable taxes while making the payment through Credit/Debit card/Net banking.

10 TUITION FEES

- 10.1 The tuition fees in Govt. / Govt. aided colleges / institutes shall be as per latest orders of Government of U.P applicable in the respective colleges/institutes. However, few courses are under self-finance scheme and the details of the fee for such courses can be obtained by the respective colleges/institutions. However, information about amount of the hostel and other fee can be obtained from the institute itself.**
- 10.2 The fees in Private Institutions/Govt. aided Institutions running self-finance courses will be as per the latest notification/orders issued by Govt. of Uttar Pradesh/Fee Fixation Committee**

11 LAST DATE OF SUBMISSION OF ON-LINE APPLICATION FORM

Application Form for counselling can be submitted On-Line only through website <https://upcet.admissions.nic.in>. For the last date of filling and submitting the On-Line Application Form refer to the website: <https://upcet.admissions.nic.in>.

12 PROCEDURE FOR COUNSELLING

Students qualified in the relevant entrance exam, i.e. - JEE (Main) 2021/UPCET-2021/NATA-2021/CMAT etc. as applicable, can appear in Counselling. The Counselling Procedure will consist of mainly the following Steps:

1. Online Registration for Counselling & Uploading of Documents for Counselling.
2. Deposition of Counselling Registration Fee.
3. Online Verification of Uploaded Documents of candidates completed step 1 and 2 above by Central Document Verification Team. This activity will be done in every Round (R1, R2, R3...etc.) of counselling for freshly registered candidates (**for the B.Arch. candidates, fresh registration is not allowed in 2nd Round (R2) and 3rd Round (R3) of counselling**)
4. Confirmation of Verification through SMS/e-mail.
5. Filling of Choices by the Verified and fresh Candidates.
6. Declaration of seat Allotment Results.
7. Seat Acceptance (Freeze /Float R1, Freeze/Float R2 & R3, Auto Freeze R4) via Payment of Acceptance Fee.
8. Reporting at allotted Institute on the given date (after every round).
9. Seat Withdrawal is permitted in Round 2 & 3.
10. Download of Provisional Allotment Letter (as the seat is confirmed now, it should be called Provisional Admission Letter)
11. Internal Sliding in Govt./Govt. Aided Institutions affiliated to AKTU (willingness in R4 and sliding in R5)
12. Registration for Special Round (R6) for vacant seats in Govt/Govt Aided Institutions affiliated to AKTU.
13. Allotment of Seats in R6.
14. R7 Optional if Seats are vacant after R6.

The Counselling is proposed in 4 Rounds/Stages (R1, R2, R3, R4) all institutions and three additional rounds (R5, R6, R7) for Govt./Govt. Aided Institutions affiliated to AKTU. The details of each Round of Counselling shall be as follows:

12.1 Round 1

Eligible Candidates willing to participate in Counselling will have to Register Online on <https://upcet.admissions.nic.in> by paying a Fee of Rs 1000 (non-refundable). Upon registration, the Candidate will have to upload Necessary Documents which will be verified Online by the Central Document Verification Team. On completion of Verification of the Documents, a SMS/e-mail will be sent to the Candidate upon receipt of such SMS the Candidate can fill Choices of Institutions & Branches as per his/her eligibility. Candidates are advised to fill choices of institute/branches in which they prefer to join.

Once the Choice filling activity is over as per specified schedule, an Automated Seat Allotment Process will be executed based on merit and seat will be allotted to the candidate as per the Choices/Preferences Submitted by the Candidate. Subsequently, the same Seat allotment result will be published online (candidates can view their allotment using their login credentials). Once the Seat is allocated to the candidate, the candidate will have an option to Freeze or Float the Allotted Seat, candidate has to pay a Seat Acceptance Fee of Rs. 20000 (General and OBC)/Rs. 12000 (SC & ST). After payment of Acceptance fee, Seat Acceptance information will be generated. This will also display Willingness for the next round.

Round wise allotment details and willingness details will be shown to candidates in their login area.

In case of Freezing the allotted seat, candidate will not be considered for seat upgradation in further rounds of counselling but the category of same allotted seat may upgrade/change in further rounds of seat allotment.

The Student who does not accept the Seat by paying acceptance fee will be treated as exit from Counselling and will not be allowed to participate in any next round of counselling.

Reporting at respective allotted Institute on the specified date as mentioned in the Counselling schedule.

12.2 Round 2

- **Who is not eligible?**

- Candidates which did not pay acceptance fee in the 1st round.
- Candidates found ineligible after the online document verification.

- **Who is eligible?**

There are Four Groups of eligible candidates:

- **Group-I (Fresh candidates):**
 - Fresh candidates who are not registered in the earlier round can register and pay the registration fee to participate in this round.
 - Those who are registered earlier but have not paid registration fee, need to pay registration fee to participate in this round.
 - Those who have registered and also paid registration fee but have not submitted any choices in the earlier round.
- **Group-II (Freeze candidates):** These candidates would not be allowed to submit fresh choices or modify choices. Their earlier allotted seat would be retained; however, their allotted category may be upgraded as per rules.
- **Group-III (Float candidates):** These candidates would be allowed to modify choices. Their earlier allotted seat would be retained. However, they would be considered for upgradation. In case no alteration is done the Choices of Round 1 will be treated as final for Round 2
- **Group-IV (Candidate with no seat allotted):** These candidates will be allowed to modify their choices if they have submitted choices earlier and no seat was allotted. In case no alteration is done the Choices of Round 1 will be treated as final for Round 2.

12.2.1 Seat Allotment for Round-2

The choices that will be submitted by the above group of eligible candidates will be processed centrally against the available vacancy and the seat allotment result for this round would be made available on the website.

Seat Conversion Rule in 2nd Round

- Allotment of seats to all registered candidates without any seat conversion.
- Conversion of sub-category seats into respective parent-category seats i.e.

| | |
|-------------------------------|----|
| OP(GL)/OP(FF)/OP(PH)/OP(AF) → | OP |
| SC(GL)/SC(FF)/SC(PH)/SC(AF) → | SC |
| ST(GL)/ST(FF)/ST(PH)/ST(AF) → | ST |
| BC(GL)/BC(FF)/BC(PH)/BC(AF) → | BC |

And then re-allotment

- After doing the all types of conversions (as above said), If there are any vacant seats available in sub-categories then conversion rule will be followed again and this process will be followed until the seats in sub-categories become '0'.
- There is no conversion of SC, ST, BC and EWS seats in this round.

After the seat allotment of Round 2

- A candidate with freeze seat of the 1st round may be upgraded on the same seat for Category Upgradation.
- A candidate with float seat may be upgraded to next higher preference seat on the final choices submitted by the candidate.
- A candidate with no allotted seat in 1st round might get a seat in this round.

Once Seat is allotted to the fresh candidates (**Group-I & Group-IV**) they will have an option to Freeze or Float the Allotted Seat, candidate has to pay a Seat Acceptance Fee of Rs 20000 (General and OBC)/Rs 12000 (SC & ST), if not paid in earlier round. After payment of Acceptance fee, Seat Confirmation information will be generated. This will also display Willingness for the next round.

Once Seat is allotted to the Already Float candidates (**Group-III**) they will have an option to Freeze/Float the Allotted Seat, all candidates who have paid the seat acceptance fee will have an option to Withdraw, the Candidate will get an option to Enter the Banking Information and a Refund of Rs. 15000 (GEN), Rs. 9000(SC & ST) will be admissible, Seat Acceptance/ Withdrawal information will be generated. Candidate will not be allowed in next rounds of counselling after Withdrawal.

The Candidate (Group III) who does not provide any option of Freeze/Float will automatically be considered as Float for Round III.

Reporting at respective allotted Institute on the specified date as mentioned in the Counselling schedule.

12.3 Round 3

- **Who is not eligible?**
 - Those candidates who did not pay acceptance fee in the 1st or 2nd round.
 - Those candidates who became ineligible after the online document verification.
 - Those candidates who have Withdrawn in earlier round.
- **Who is eligible?**

There are Four Groups of eligible candidates:

- **Group-I (Fresh candidates):**
 - Fresh candidates who are not registered in the earlier round can register and pay the registration fee to participate in this round.
 - Those who are registered earlier but not paid registration fee need to pay registration fee to participate in this round.
 - Those who have registered and also paid registration fee but not submitted any choices in the earlier round.
- **Group-II (Freeze candidates):** These candidates would not be allowed to modify choices. Their earlier allotted seat would be retained; however, their allotted category may be upgraded as per rules.
- **Group-III (Float candidates):** These candidates would be allowed to modify choices. Their earlier allotted seat would be retained. However, they would be considered for upgradation. In case no alteration is done the Choices of earlier Round will be treated as final for Round 3.
- **Group-IV (Candidate with no seat allotted):** These candidates will be allowed to modify choices if they have submitted choices earlier and no seat is allotted.

In case no alteration is done the Choices of earlier Round will be treated as final for Round -3.

12.3.1 Seat Allotment for Round-3

The choices that will be submitted by above group of candidates will be processed centrally against the available vacancy and the seat allotment result for this round would be made available on the website.

- **Seat Conversion Rule in 3rd Round**

- Allotment of seats to all registered candidates without any seat conversion of vertical reservation.
- Conversion of ST seats into SC and then re-allotment.
- After doing the all types of conversions (as above said), If there are any vacant seats available in ST then conversion rule will be followed again and this process will be followed until the seats in ST become '0'.
- Then conversion of SC and BC seats into Open category seats i.e.
SC → OP
BC → OP
and then re-allotment
- After doing the all types of conversions (as above said), If there are any vacant seats available in ST, SC and BC then conversion rule will be followed again and this process will be followed until the seats in SC & BC become '0'.
- Finally, all vacant seats will be available only in Open and EWS category.
- There is no conversion of TFW seats in this round.

After the seat allotment of round-3

- A candidate with freeze seat of the 1st round or 2nd round may be upgraded on the same seat for Category Upgradation
- A candidate with float seat may be upgraded to next higher preference seat on the final choices submitted by the candidate
- A candidate with no allotted seat in earlier rounds might get a seat in this round

Once Seat is allotted to the fresh candidates (**Group-I & Group-IV**) they The candidate will have an option to Freeze or Float the Allotted Seat, candidate has to pay a Seat Acceptance Fee of Rs 20000 (General and OBC)/Rs 12000(SC & ST), if not paid in earlier round. After payment of Acceptance fee, Seat Confirmation information will be generated. This will also display Willingness for the next round.

Once Seat is allotted to the Already Float candidates (**Group-III**) they The candidate will have an option to Freeze/Float the Allotted Seat, all candidates who have paid the seat acceptance fee will have an option to Withdraw the Candidate will get an option to Enter the Banking Information and a Refund of Rs 10000 (GEN), Rs 6000(SC & ST) will be admissible, Seat Acceptance/ Withdrawal information will be generated. Candidate will not be allowed in further rounds of counselling after Withdrawal.

The Candidate (Group III) who does not provide any option of Freeze/Float will automatically be considered as Float for Round IV.

Reporting at respective allotted Institute on the specified date as mentioned in the Counselling schedule.

12.4 Round 4

- **Who is not eligible?**
 - Those candidates who did not pay confirmation fee in the 1st or 2nd or 3rd round.
 - Those candidates who became ineligible after the online document verification.
 - Those candidates who have Withdrawn in earlier round.
- **Who is eligible?**

There are Three Groups of eligible candidates:

- **Group-I (Freeze candidates):** These candidates would not be allowed to modify choices. Their earlier allotted seat would be retained; however, their allotted category may be upgraded as per business rules.
- **Group-II (Float candidates):** These candidates would not be allowed to modify/submit choices. Their earlier allotted seat would be retained. However, they would be considered for upgradation. In case no alteration is done the Choices of earlier Round will be treated

as final

- **Group-III (Candidate with no seat allotted):** Those candidates who did not get any seat in the earlier round will be considered for seat allotment in their existing choices.

12.4.1 Seat Allotment for Round-4

The choices available will be processed centrally against the available vacancy and the seat allotment result for this round would be made available on the website.

- **Seat Conversion Rule in 4th Round**

- All vacant seats from Round 3 will be available only in Open category.

After the seat allotment of round-4

- A candidate with freeze seat of the 1st round or 2nd or 3rd round may be upgraded on the same seat for Category Upgradation
- A candidate with float seat may be upgraded to next higher preference seat on the final choices submitted by the candidate
- A candidate with no allotted seat in earlier rounds might get a seat in this round
- Once Seat is allotted to the fresh candidates (**Group-III**) The candidates have an option to Freeze, candidate will have to pay a Confirmation Fee of Rs 20000 (General and OBC) /Rs 12000(SC & ST). Seat Confirmation information will be generated after confirmation of seat.
- Once Seat is allotted to the Already Float Candidates (**Group-II**) The New Allotted Branch will be auto frozen, Seat Confirmation information will be generated.

The Seat Acceptance & Confirmation Fee of Rs 20000.00 (General/OBC-NCL) Rs 12000 (SC/ST) will be transferred/adjusted to/with the allotted Institute. There will be provision of Refund/Withdraw only in Round 2 & 3, In Case a Candidate Does not withdraw in Round 2/3 there will be no refund allowed.

Physical Reporting

All Candidates who have been allotted seats and have paid Seat Confirmation Fee will be required to Physically Report at the Allotted Institution on the specified date as mentioned in the Counselling schedule..

The Candidates who have been allotted seats in Govt/Govt Aided Institutions affiliated to AKTU will get an option to opt for Internal Sliding while Physical Reporting.

13 Round 5 (Internal Sliding)

All Candidates who have opted for Internal Sliding in Govt./Govt. Aided Institutions affiliated to AKTU will be processed for Internal Sliding within the allotted institution and Allotments will be made, in case a candidate is offered an upgraded seat he/she cannot withdraw the same. Candidates are required to carefully opt for Internal Sliding.

14 Round 6 (Special Round for Govt/Aided Colleges)

- **Who is not eligible?**

- All Candidates with a Freeze Seat after Round 5, i.e - all candidates who have been allotted a seat and paid but not withdrawn in Round 2 & 3.

- **Who is eligible?**

- All Candidates Except Candidates who are mentioned as not Eligible.

Registration for Special Round (R6)

Eligible candidates for R6 can register for this round with an Application Fee of Rs 1000, Candidates will be required to Upload Documents which will be verified centrally, upon verification an SMS will be sent to the candidates after which the candidate can Fill the Choices for this Special Round, The candidate will be required to deposit Seat Confirmation Fee of Rs 20000.00 to participate in this round this fee will only be refunded to candidates who will not be offered any seat. Any Candidate who is offered a seat in this round cannot claim any refund in any case.

Seat Allotment

All Seats remained/reported vacant after Physical Reporting in Govt/Aided Colleges will be available only in Open Category and allotment will be made to candidates who have paid Rs 20000 in R6.

15 Special Round (R7) (Govt/Aided Colleges if seats remain vacant after R6)

- **Who is not eligible?**
 - All Candidates with a Freeze Seat after Round 6, i.e - all candidates who have been allotted a seat and paid the fee but not withdrawn in Round 2 & 3.
- **Who is eligible?**
 - All Candidates registered in R6 but no seat allotted and
 - All Candidates Except Candidates who are mentioned as not Eligible in this Round.

Registration for Special Round (R7)

Eligible candidates for R7 can register for this round with an Application Fee of Rs 1000, Candidates will be required to Upload Documents which will be verified centrally, upon verification an SMS will be sent to the candidates after which the candidate can Fill the Choices for this Special Round, the candidate will be required to deposit Seat Confirmation Fee of Rs 20000.00 to participate in this round this fee will only be refunded to candidates who will not be offered any seat. Any Candidate who is offered a seat in this round cannot claim any refund in any case.

Seat Allotment

All Seats remained/reported vacant after R6 Allotment will be available only in Open Category and allotment will be made to candidates who have paid Rs 20000 in R6 or R7.

16 Weeding Out Rule

The record of the Counselling would be preserved only upto 90 days from the closing date of last round of counselling. No request for providing any information thereafter shall be entertained.

Tentative Schedule for UPCET Counselling 2021
(All Programmes Except M Tech/M Arch/M Pharm/M Des)

Please see the Website: <https://upcet.admissions.nic.in>.

Appendix A

List of Institutions/Branches/Intake of UG & PG Courses

Please see the Website: <https://aktu.ac.in> OR <https://upcet.admissions.nic.in>

CERTIFICATE – 1 (प्रमाण पत्र-1)

अनुसूचितजाति/जनजाति (UPSC/UPST/GDSC/GDST)

(अभ्यर्थी के जन्म जिले के जिला मजिस्ट्रेट/प्रथम श्रेणी मजिस्ट्रेट द्वारा प्रमाणित)

यह प्रमाणित किया जाता है कि श्री/कु0 पुत्र/पुत्री श्री
..... निवासी गाँव/शहर तहसील जिला
.....प्रदेश का जन्म जाति में हुआ था और यह जाति अनुसूचित
जाति/जनजाति आदेश (संशोधन) एक्ट 1956 के अन्तर्गत भारत सरकार/उत्तर प्रदेश शासन
..... सरकार द्वारा मान्य अनुसूचित जाति/जन जाति है।

अभ्यर्थी के हस्ताक्षर

हस्ताक्षर

दिनांक

नाम

स्थान

मोहर

थजला अधिकारी / अतिरिक्त जिलाअधिकारी/

सिटी मजिस्ट्रेट / परगना मजिस्ट्रेट / तहसीलदार

Note: Performa of certificate shall be changed according to latest Govt. order.

CERTIFICATE – 2 (प्रमाण पत्र-2)

उत्तर प्रदेश के अन्य पिछड़े वर्ग के लिए जाति प्रमाण पत्र का प्रपत्र(UPBC/GDBC)

यह प्रमाणित किया जाता है कि श्री/श्रीमती /कुमारी सुपुत्र/सुपुत्री
श्रीनिवासी ग्राम
तहसील नगर जिला उत्तर प्रदेश राज्य
की पिछडी जाति के व्यक्ति हैं। यह जाति उत्तर प्रदेश लोकसेवा अनुसूचित
जातियों । अनुसूचित जनजातियों तथा पिछडे वर्गों के लिए आरक्षण अधिनियम, 1994 की अनुसूची- 1
के अन्तर्गत मान्यता प्राप्त है।

यह भी प्रमाणित किया जाता है कि श्री/श्रीमती/कुमारी
उक्त अधिनियम 1994 की अनुसूची-2 (अधिसूचना संख्या -22/16/92-का 02/1995 टी0 सी0
दिनांक 8 दिसम्बर, 1995 द्वारा यथासंशोधित) से आच्छादित नहीं है।

श्री/श्रीमती/कुमारी तथा अथवा उनका परिवार उत्तर प्रदेश के
ग्राम नगर जिला
..... में सामान्यतया रहता है।

अभ्यर्थी के हस्ताक्षर

हस्ताक्षर

दिनांक

नाम

स्थान

मोहर

जिलाअधिकारी / अतिरिक्त जिलाअधिकारी/

सिटी मजिस्ट्रेट / परगना मजिस्ट्रेट / तहसीलदार

Note that the Certificate No. 2 for OBC candidates will be entertained only if it is issued on or after 01.04.2021 (mandatory due to condition of creamy layer for OBC).

CERTIFICATE – 3(प्रमाण पत्र-3)

उत्तरप्रदेश के मूल/सामान्य निवासी के पुत्र/पुत्री(UPGD/GDSC/GDST/GDBC)
(उसजिले के अधिकारी द्वारा प्रमाणित जिसजिले के माता/पितानिवासी है)

यह प्रमाणित किया जाता है किश्री/श्रीमती (अभ्यर्थी के पिता/माताका नाम)
.....पिता/माता श्री/कु0(अभ्यर्थी का नाम)..... उत्तर प्रदेश के
गाँव/शहर तहसील जिला के मूल
निवासी हैं तथा श्री/कु0 (अभ्यर्थी का नाम)अपने पिता/माता
परपूर्णतया आश्रित हैं।उक्तपतेपर श्री/कु0 (अभ्यर्थी का नाम)
के माता/पिता सामान्यतः निवास करतेहैं।

दिनांक

स्थान

हस्ताक्षर जिला मजिस्ट्रेट

पूरानाम

पदनाम

मुहर (जिला मजिस्ट्रेट की सील)

जिला मजिस्ट्रेट अथवा जिलामजिस्ट्रेट द्वारा अधिकृत अपर जिलामजिस्ट्रेट/सब डिवीजन मजिस्ट्रेट द्वारा
प्रमाण पत्र ही मान्य होंगे जो शा0आ0 सं0-157/तीन -2003-77(II)/83 दिनांक 18 फरवरी, 2003 के
अधीन जारी किया जायेगा।

नोट-प्रमाणपत्र-3 अभ्यर्थी के माता/पिता का बना होना चाहिए क्योंकि अभ्यर्थी जिन्होंने अर्हकारी परीक्षा
उत्तर प्रदेश के बाहर स्थित किसी विद्यालय सेउत्तीर्ण की हैपरन्तु उनके माता/पिता उत्तर प्रदेश के
मूल/स्थाई निवासी हैं परीक्षा में बैठने के पात्र हैं।

CERTIFICATE – 4 (प्रमाण पत्र-4)(Sub-Category UPPF)

उत्तर प्रदेश लोक सेवा (शारीरिक रूपसेविकलांग, स्वतंत्रता संग्राम सेनानी के आश्रितों और भूतपूर्व
सैनिकों के लिए आरक्षण) अधिनियम, 1993 के अनुसार स्वतन्त्रता संग्रामसेनानी के आश्रित के प्रमाण
पत्र का प्रपत्र

प्रमाणित किया जाता है कि श्री/श्रीमती (स्वतंत्रता संग्राम सेनानी का नाम)
निवासी ग्रामतहसील नगर
जिला उत्तर प्रदेश लोकसेवा (शारीरिक रूप से विकलांग, स्वतंत्रता संग्राम सेनानी
के आश्रितों और भूतपूर्व सैनिकों के लिए आरक्षण) अधिनियम 1993 के अनुसार स्वतन्त्रता संग्राम सेनानी
हैं और श्री/श्रीमती/कु0(आश्रित अभ्यर्थी का नाम)
पुत्र/पुत्री/पौत्र/अविवाहित पौत्री उपरांकित अधिनियम, 1993 के ही प्रावधानों के अनुसार उक्त
श्री/श्रीमती (स्वतन्त्रता संग्राम सेनानी) के आश्रितहैं।

दिनांक

स्थान

हस्ताक्षर

पूरानाम एवंपदनाम

मुहर (जिला मजिस्ट्रेट की सील)

Note: Proforma of certificate may be changed according to latest Govt. order.

CERTIFICATE – 5 (प्रमाणपत्र-5)

Part – A

उत्तरप्रदेश/सेनादल (GDDA/Sub-Category UPAF)
(अंतिम यूनिट के आफिसर कमान्डिंग/जिला सैनिक कल्याण बोर्ड द्वारा प्रमाणित)

यह प्रमाणित किया जाता है कि श्री/श्रीमती (अभ्यर्थी के पिता अथवा माता का नाम)
.....निवासी गाँव/शहर तहसील जिला
.....उत्तर प्रदेश, के दिनांकको सेवानिवृत्त (Superannuated) युद्ध में मारे
गये/अपंग हो गये/उत्तर प्रदेश में वर्तमान में तैनात है। वे भारतीय थलसेना/जलसेना/वायुसेना के
स्थान दिनांक..... से दिनांक तक कार्यरत
थे/हैं।

दिनांक

स्थान

यूनिट कमान्डिंग आफिसर के हस्ताक्षर

नाम

मोहर

Part – B

(जिला मजिस्ट्रेट द्वारा प्रमाणित)

यह प्रमाणित किया जाता है कि श्री/कु0(अभ्यर्थी) निवासी
.....उत्तर प्रदेश गाँव/शहर तहसील जिला
उपरोक्त सेना दल के सेवानिवृत्त(Superannuated) युद्ध में मारे गये या अपंग हो गये कर्मचारी जो उत्तर
प्रदेश के स्थायी निवासी हैं/थे, के पुत्र/पुत्री हैं अथवा प्रवेश परीक्षा की तिथि को उत्तर प्रदेश भारतीय
थल सेना/जलसेना/वायुसेना में कार्यरत थे/हैं।

दिनांक

स्थान

हस्ताक्षर

नाम

मोहर

CERTIFICATE – 6 (प्रमाण पत्र-6) (Sub-Category UPHC)

शारीरिकविकलांग के अधिमान के लिए प्रमाणपत्र (मुख्य चिकित्साअधिकारी द्वारा प्रमाणित)

- 1- यह प्रमाणित किया जाता है कि श्री/कु0(अभ्यर्थी)पुत्र/पुत्री श्री
(पिता का नाम)नीचे लिखे कारणों से शारीरिक रूप से विकलांग हैं।
(केवल मुख्य चिकित्सा अधिकारी ही कारण लिखें).....
2. अभ्यर्थी की उपरोक्त विकलांगता को निम्न प्रकार की विकलांगता की श्रेणी में रखा जा सकता है।
(कृपया✓कानिशाान लगायें)

| | |
|---|--|
| Type - I: Minimum 40% permanent Visual impairment | |
| Type-II: Minimum 40% permanent Locomoter disability | |
| Type-III: Minimum 40% permanent Speech Hearing impairment | |

- 3- यह भी प्रमाणित किया जाता है कि उपरोक्त विकलांग स्थिति अभ्यर्थी के इन्जीनियरिंग शिक्षा प्राप्त करने में बाधक नहीं होगी।

अभ्यर्थी के हस्ताक्षर

नाम

दिनांक

चिकित्साधिकारी के हस्ताक्षर

नाम

मुहर

CERTIFICATE – 7 (प्रमाण पत्र-7)
उत्तरप्रदेशग्रामीण क्षेत्र के लिये अधिमान (UPGE)
(कालेज के प्रधानाचार्य द्वारा प्रमाणित)

यह प्रमाणित किया जाता है कि श्री/कु0(अभ्यर्थी) ने
..... कालेज गाँव से इण्टरमीडिएट की शिक्षा प्राप्त
की। यह कालेज तहसील जिला उत्तर प्रदेश के
ग्रामीण क्षेत्र में स्थित हैं तथा ग्राम पंचायत के कार्य क्षेत्र के सीमा के अन्दर है और यह क्षेत्र औद्योगिक
विकसित क्षेत्र नहीं है।

दिनांक

हस्ताक्षर

स्थान

नाम

मुहर

(जिला विद्यालय निरीक्षक एवं जिला मजिस्ट्रेट द्वारा प्रमाणित)

प्रधानाचार्य द्वारा दिया गया कालेज की स्थिति से संबंध उपरोक्त वक्तव्य प्रमाणित किया जाता है।

दिनांक

हस्ताक्षर जिला विद्यालय निरीक्षक

स्थान

नाम

मुहर

दिनांक

प्रतिहस्ताक्षरित जिला / अपर / उप जिलामजिस्ट्रेट

स्थान

नाम

मुहर

CERTIFICATE – 8 (प्रमाण पत्र-8)
CHARACTER CERTIFICATE FROM THE HEAD OF
THE INSTITUTION LAST ATTESTED

This is to certify that Sri / Km. _____
has been a bonafide student of _____
from _____ to _____ and
has passes / appeared at the _____
examination in the year _____

Proctorial reports:

- | | |
|--|----------|
| 1. Has he / she involved himself / herself if any act of indiscipline ? | Yes / No |
| 2. Has he / she been warned, Fined or punished for any act of indiscipline? | Yes / No |
| 3. Has he / she been restricted or expelled from Hostel of College for any reason? | Yes/ No |
| 4. Has he / she been involved in any act of indisiple outside the College campus like group clashes or fraction fights etc. | Yes/ No |
| 5. Has he / she been addicted to drugs or intoxicants? | Yes/ No |

General remarks (Please state your assessment of the student)

Date :

Signature _____

Name _____

Designation _____

CERTIFICATE –9 (प्रमाणपत्र-9)

* FORMAT FOR MEDICAL CERTIFICATE

(To be obtained from a Chief Medical Officer or Medical Officer of a participating U.P. State Funded Engg. Institute)

This certificate has to be submitted at the time of admission in the college allotted.

| | | | | | |
|--|----------------|--------------------------|--|-----------|----------|
| Name of Candidate: | | Age: | Sex: | | |
| Counselling Roll No.: | | Category: | Subcategory & Weighatge: | | |
| State Rank Position: (To be filled in by the Candidate) | | Father's Name: | | | |
| L.T. | M.I. | VISION | Colour Vision: | | |
| Height | Weight | | Chest | Abdomen | |
| History | | Operation | Kockh'sColics | B.P. | |
| | | Seizures | Asthma | Piles | Diabetes |
| E X A M I N A T I O N | Pulse | Tonsil | DNS | Hernia | |
| | Pallor | L.Nodes | CSOM | Hydrocele | |
| | Cardiovascular | | CNS | | |
| | Respiratory | | GIT | | |
| Genitourinary | | Others | | | |
| Is the candidate physically handicapped/Disabled: | | <input type="checkbox"/> | (Please tick) Yes / No | | |
| If yes, type of handicap/disability: (Please tick ✓ the type of handicap/disabilty) | | <input type="checkbox"/> | Type -I: Minimum 40% permanent Visual impairment | | |
| and | | <input type="checkbox"/> | Type-II: Minimum 40% permanent Locomoter disability | | |
| | | <input type="checkbox"/> | Type-III: Minimum 40% permanent speech Hearing impairment | | |
| Any other finding: | | | | | |
| Certified that the candidate is physically fit/unfit/temporally disqualified to pursue engineering studies | | | | | |

Signature of Candidate

Signature of the issuing Medical Officer (with Official stamp)

CERTIFICATE – 10 (प्रमाणपत्र-10)

UNDERTAKING BY CANDIDATE FOR MEDICAL FITNESS

I certify that I have no such physical handicap/disability which would hinder the pursuit of studies in the courses in which I am seeking admission. If at stage it is found that I have a physical handicap/ disability which would hinder the pursuit of studies in the courses in which I am seeking admission then my admission will be liable to be cancelled. I will produce medical fitness certificate from a C.M.O./C.M.S. at the time of my joining the institution allotted by counselling.

Dated:

Counter Signed by Father / Guardian

Signature of the Candidate

CERTIFICATE – 11 (प्रमाणपत्र-11)

अखिल भारतीय सेवा के उ0प्र0 कैंडर के अधिकारियों/कर्मचारियों हेतु

प्रमाणित किया जाता है कि श्री/श्रीमती (अभ्यर्थी के माता/पिताका नाम)
.....पदनामविभाग का नाम कैंडर संख्या
.....अखिल भारतीय सेवा के उ0प्र0 कैंडर के अधिकारी/कर्मचारी है तथा वर्तमान में इस कार्यालय
में कार्यरत हैं। यह प्रमाणपत्र इनके पुत्र/पुत्री (अभ्यर्थी का नाम)
.को डा0 ए0 पी0 जे0 अब्दुल कलाम प्राविधिक विश्वविद्यालय उत्तर प्रदेश, लखनऊ के अन्तर्गत प्रवेश
हेतु प्रदान किया जाता है।

दिनांक:

काहस्ताक्षर

विभागाध्यक्ष/कार्यालयाध्यक्ष

नाम एवं पदनाम
मुहर

CERTIFICATE-12 (प्रमाण पत्र-12) (Income Certificate)

क्षेत्रीय भूलेख निरीक्षक तथा लेखपाल की जांच रिपोर्ट के आधार पर प्रमाणित किया जाता है
कि----- (आवेदक के अभिभावक/माता/पिताका नाम) सुपुत्र
-----निवासी/ग्राम-----परगना-----
-----तहसील-----नगर-----जिला-----
-----राज्य----- के स्वयं की मासिकआय रूपया-----तथा
वार्षिक आय रूपया-----है।

लेखपाल की रिपोर्ट के अनुसार आय का स्रोत-----है।

स्थान:

दिनांक:

तहसीलदार
मुहर

नोट-अभ्यर्थी ध्यान दें कि उ0प्र0 के आर्थिक रूप से कमजोर अभ्यर्थियों के लिए प्रमाण पत्र अप्रैल01,
2021 के पश्चात का बना हुआ होना आवश्यक है।

E.W.S

CERTIFICATE-13 (प्रमाण पत्र-13)

उत्तर प्रदेश सरकार

कार्यालय का नाम तहसीलदार महोदय, तहसील.....(उ0प्र0) भारत- आर्थिक रूप से कमजोर वर्ग के सदस्यों द्वारा प्रस्तुत किया जाने वाला आय एवं परिसम्पत्ति प्रमाण पत्र ।

प्रमाण पत्र संख्या.....

दिनांक

वित्तीय वर्ष के लिए मान्य

प्रमाणित किया जाता है कि श्री/श्रीमती/कुमारी पुत्र/पुत्री/पत्नी.....ग्राम/कस्बा पोस्ट आफिस थाना ब्लॉक..... तहसील..... राज्य पिन कोड के स्थायी निवासी हैं। जिसका फोटोग्राफ नीचे अभिप्रमाणित है आर्थिक रूप से कमजोर वर्ग के सदस्य हैं क्योंकि वित्तीय वर्ष में इनके परिवार की कुल वार्षिक आय 8 लाख (आठ लाख रुपये) से कम है इनके परिवार के स्वामित्व में निम्नलिखित में से कोई भी परिसम्पत्ति नहीं है।

1. 5 (पांच) एकड़ कृषि योग्य भूमि या इसके ऊपर ।
2. एक हजार वर्गफिट अथवा इससे अधिक का आवासीय का फ्लैट ।
3. अधिसूचित नगर पालिका से अन्तर्गत 100 वर्ग गज अथवा इससे अधिक का आवासीय भूखण्ड ।
4. अधिसूचित नगर पालिका से अन्तर्गत 200 वर्ग गज अथवा इससे अधिक का आवासीय भूखण्ड ।

2. श्री/श्रीमती/कुमारी जातिके सदस्य हैं जो अनुसूचित जाति, अनुसूचित जनजाति तथा अन्य पिछड़ा वर्ग के रूप में अधिसूचित नहीं है।

मुहर)

हस्ताक्षर.....(कार्यालय का

पूरा नाम

पदनाम

जिलाधिकारी/अतिरिक्त जिलाधिकारी/सिटी मजिस्ट्रेट/परगना मजिस्ट्रेट/तहसीलदार ।

अनुसूचित जाति, अनुसूचित जनजाति एवं ओबीसी जातियों के नाम

1.1.1 **The list of SC/ST/OBC categories shall be as notified by the Government of Uttar Pradesh as applicable on the day of counselling / admission.**

The benefit of reserved categories /subcategories /rural weightage shall be admissible only upon submission of the required certificate in the format as given in Appendix-D.